



### General Grant Information

Country	Ethiopia				
Grant Number	ETH-708-G09-H	Component	HIV/AIDS	Round	07
Grant Title	Ensuring Quality HIV/AIDS Services by Consolidating and Strengthening Existing HIV/AIDS Prevention, Treatment, Care and Support Programs				
Principal Recipient	Ethiopian Inter-Faith Forum for Development, Dialogue and Action (EIFDDA)				
Grant Status	Financially Closed -				
Grant Start Date	01 Jan 2009	Grant End Date	31 Dec 2015		
Current* Phase Start Date	01 Jan 2014	Current* Phase End Date	31 Dec 2015	Latest Rating	A2
Current* Phase Signed Amount	\$ 4,460,939	Current* Phase Committed Amount	\$ 4,460,939	Current* Phase Disbursed Amount	\$ 4,460,939
Cumulative Signed Amount	\$ 33,882,355	Cumulative Committed Amount	\$ 33,882,355	Cumulative Disbursed Amount	\$ 33,882,355
				% Disbursed	100%
Time Elapsed (at the end of the latest reporting period)	72 months	Proposal Lifetime	Not Available	% of Grant Duration	86%

\* Latest Phase if grant is closed

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*(For ExternalVersion)*

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## 1. Program Description and Contextual Information

### 1.1. Grant Summary - Web

Ethiopia is one of the countries most affected by the HIV and AIDS pandemic, with an estimated 2.2 million citizens currently living with HIV. The program supported by this grant is designed to strengthen and expand the scale-up of social mobilization, care and support programs for people living with HIV and AIDS as well as orphans and children made vulnerable by HIV and AIDS. The program intends to increase coverage and adherence to antiretroviral therapy in 40 districts of four major regional states. The program also aims to enhance community ownership and response to HIV and AIDS with community conversation and other means, and mobilizing local communities to support orphans and vulnerable children. Mitigation of the social and economic impact of HIV and AIDS on people living with the disease and their families through job creation, income-generating activities and the provision of food and nutritional support to people living with HIV and AIDS and their families is another important component of the program.

### 1.2. Country Latest Statistics

Background and Health Spending	Estimate	Year	Source
Population, total	91,728,849	2012	The World Bank Group (Data latest 2013 (update: 2012)
Birth rate, crude (per 1,000 people)	31	2011	The World Bank Group (Data latest 2013 (update: 2011)
Death rate, crude (per 1,000 people)	9	2011	The World Bank Group (Data latest 2013 (update: 2011)
External resources for health (% of total expenditure on health)	44	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure per capita (current US\$)	17	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, private (% of GDP)	2	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of GDP)	3	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of government expenditure)	15	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of total health expenditure)	58	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, total (% of GDP)	5	2011	The World Bank Group (Data latest 2013 (update: 2011)
Hospital beds (per 1,000 people)	6	2011	The World Bank Group (Data latest 2013 (update: 2011)
Life expectancy at birth, total (years)	59	2011	The World Bank Group (Data latest 2013 (update: 2011)
Nurses and midwives (per 1,000 people)		2010	The World Bank Group (Data latest 2013 (update: 2010)
Physicians (per 1,000 people)		2010	The World Bank Group (Data latest 2013 (update: 2010)
Community health workers (per 1,000 people)		2009	The World Bank Group (Data latest 2013 (update: 2009)
HIV/AIDS	Estimate	Year	Source
AIDS Orphans Number estimate	950,000	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Annual number of AIDS deaths Number estimate	54,000	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Estimated HIV prevalence, adult (15-49 years old)(%)	1	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Estimated number of people needing antiretroviral therapy based on 2010 WHO guidelines	470,000	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
People living with HIV Number estimate	790,000	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Reported number of people receiving antiretroviral therapy	265,174	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
People currently on ART	323,106	2014	Mid-2014 Global Fund Results

## 1.3. Comments on Key Discrepancies between Approved Proposal and Grant

## 1.5. Conditions Precedent

CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	<p>Conditions Precedent to First Disbursement (Terminal Date as stated in block 7A of the Face Sheet)</p> <p>The first disbursement of Grant funds is subject to the satisfaction of each of the following conditions:</p> <p>a. the delivery by the Principal Recipient of a statement confirming the bank account into which the Grant funds will be disbursed as indicated in block 10 of the face sheet of this Agreement;</p>		Disbursement		Met	The PR delivered the Principal Recipient BANK ACCOUNT INFORMATION form dated 13 October 2008. The bank information in this form is the same information contained on the face sheet of the Grant Agreement.
	Condition Precedent	The delivery by the Principal Recipient of a letter signed by the Authorized Representative of the Principal Recipient setting forth the name, title and authenticated specimen signature of each person authorized to sign disbursement requests under Article 10 of the Standard Terms and Conditions of this Agreement and, in the event a disbursement request may be signed by more than one person, the conditions under which each may sign; and		Other		Met	The PR delivered an Authorized Signatories form dated 13 October 2008.
	Condition Precedent	The delivery by the Principal Recipient of a work plan, in form and substance acceptable to the Global Fund, detailing steps to improve the capacity of the Principal Recipient to manage the Program and oversee the activities of Sub-recipients, including recruitment and training of additional staff at the Principal Recipient's Program management unit, and development of the Principal Recipient's capacity regarding monitoring and evaluation and audit of Sub-recipient's activities.		Other		Met	The Global Fund received a work plan for capacity building with detailed steps which was acceptable in form and substance
	Condition Precedent	<p>Condition(s) Precedent to Second Disbursement (Terminal Date as stated in block 7B of the Face Sheet)</p> <p>The second disbursement of Grant funds is subject to the satisfaction of each of the following conditions:</p> <p>a. the recruitment by the Principal Recipient of individuals, with the appropriate qualifications and experience, for the positions of Grant Manager, M&amp;E Advisor, Internal Auditor and Finance Officer for its Program management unit.</p>				Met	<p>The PR has selected new personnel for the positions, but not all of them have started in the new positions.</p> <ul style="list-style-type: none"> <li>- The Internal Auditor has been selected and is expected to start in October 2009.</li> <li>- The Grant Manager position is currently vacant due to a resignation. The PR confirmed that they have selected a replacement.</li> <li>- The Finance Officer / Accountant has been hired.</li> </ul>
	Condition Precedent	The delivery by the Principal Recipient to the Global Fund of a revised budget for the Program Term (the "Revised Program Budget") if the amendments incorporated into the Updated M&E Plan necessitate amendments to the budget for the Program Term that was approved by the Global Fund as of the effective date of this Agreement; and				Met	The revised Year 1 budget was submitted to the Global Fund on 27 August 2009.

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	The written approval of the Global Fund of the Updated M&E Plan and Revised Program Budget (the latter only being applicable if condition c. of this subsection B.2 is applicable).				Met	The Global Fund Secretariat has not yet finalized its review of the M&E Plan and revised budget.
	Condition Precedent	The delivery by the principal recipient to the Global Fund of an updated plan for monitoring and evaluating program activities (the updated M&E plan)				Met	The M&E Plan was submitted to the GF on August 20th, 2009.
	Condition Precedent	The delivery by the principal recipient to the Global fund of a revised budget for the program term (the revised program budget) if the amendments incorporated into the updated M&E plan necessitate amendments to the budget for the program term that was approved.				Met	The revised budget for Year 1 was submitted to the GF on the date August 27, 2009 according to the disbursement 5 dated 20 May 2011.
	Condition Precedent	The written approval of the Global Fund of the updated M&E plan and revised program budget				Met	The M&E plan has been formally approved by the GF according to the Disbursement 5 DDMF of 20 May 2011.
	Condition Precedent	No later than June 2011 the Principal Recipient shall submit evidence, in form and substance satisfactory to the Global Fund, that an independent mid-term review of the orphan and vulnerable children (OVC) scheme has been conducted. The review shall include information on value-for-money and recommendations on optimal OVC funding packages				Waived	This CP was waived via an email dated 18 October 2011.
	Condition Precedent	The Principal Recipient shall follow and provide a regular update on progress on the 'Plan for Financial Monitoring and strengthening the capacity of sub-recipients for 2011', in the form and substance satisfactory to the Global Fund.				In Progress	The Global Fund Country Team had requested EIFDDA to draw up a plan for financial monitoring and strengthening the capacity of SRs by 31 March, 2013. The PR submitted the plan for financial monitoring and strengthening of SRs on March 7 2014. The PR has not however provided regular updates on progress of the plan for financial monitoring and strengthening of sub-recipients.' Furthermore, the update of unliquidated advances for the period ended September 30 2014 were in total USD 2.1 million.
	Condition Precedent	The use of grant funds by the principal recipient for income generating activities (the "IGA") is subject to the satisfaction of each of the following conditions: a. the delivery by the Principal Recipient to the Global Fund of a comprehensive evaluation of the IGA, conducted by an external party; and b. the written endorsement by the Global Fund of the results and recommended measures.				In Progress	PUDR June 2014 - The PR has initiated the procurement process for a Consulting Firm for the evaluation of the IGA program. The earlier offer from the Addis Ababa University was considered (by GF and the PR) to be very high for this particular activity. In this regard, it was agreed between the PR and the GF to go for an open call for bids from potential Consulting Firms for cost comparison and a negotiation base. The LFA has observed that the PR has identified a firm "Royal Consults" to undertake the IGA evaluation. The contract was signed on 12 May 2014 and the first installment (50%) was paid on 13 May 2014. However, as of 30 June 2014 work has not yet started.

## 2. Key Grant Performance Information

### 2.1. Program Impact and Outcome Indicators

Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023

**Goal 1** To achieve the national goal of reducing HIV transmission and HIV/AIDS related morbidity and mortality by ensuring quality HIV/AIDS services and mitigating the socio-economic impacts of the disease on PLWHA, OVC and their families.

Impact indicator	Percentage of HIV prevalence among sexually active population (15 - 49 years old)										Baselines				
											Value		Year		
											4%		2005		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: 3%	N: D: P: %	N: D: P: %	N: D: P: 2%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result															
Data source of Results															

Outcome indicator	% of adults and children with HIV still alive 12 months after initiation of antiretroviral therapy (extend to 2, 3, 5 years as program matures)										Baselines				
											Value		Year		
											77%		2006		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: 85%	N: D: P: 90%	N: D: P: %	N: D: P: 90%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: 86%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	% of infants born to HIV infected mothers who are infected										Baselines				
											Value		Year		
											13%		2007		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: %	N: D: P: 7%	N: D: P: %	N: D: P: 6%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: 16%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	Percentage of registered OVC who complete a full school year										Baselines				
											Value		Year		
											TBD		2009		

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: %	N: D: P: 90%	N: D: P: 90%	N: D: P: 90%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: 26,740 34,282 P: 78%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	Number and percentage of OVC supported by the communities										Baselines				
											Value		Year		
											TBD		2009		
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: %	N: D: P: 50%	N: D: P: 50%	N: D: P: 50%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: 60%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

## 2.2. Programmatic Performance

### 2.2.1. Reporting Periods

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
N/A	01.Jan.09 30.Jun.09	01.Jul.09 31.Dec.09	01.Jan.10 30.Jun.10	01.Jul.10 31.Dec.10	01.Jan.11 30.Jun.11	01.Jul.11 31.Dec.11	01.Jan.12 30.Jun.12	01.Jul.12 31.Dec.12
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
N/A	01.Jan.13 30.Jun.13	01.Jul.13 31.Dec.13	01.Jan.14 30.Jun.14	01.Jul.14 31.Dec.14	01.Jan.15 30.Jun.15	01.Jul.15 31.Dec.15	01.Jan.16 30.Jun.16	01.Jul.16 31.Dec.16

### 2.2.2. Program Objectives, Service Delivery Areas and Indicators

#### Objective 1 - Enhance community ownership and response to HIV/AIDS

##### Social Mobilization

##### Indicator 1.3 - Number of Community Conversation sessions conducted

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)													
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8					
Level 2-Service Points supported	0	2008	N	N													
Target		60,000	60,000	120,000	54,000	108,000	54,000	151,200									
Result	0	28,348	43,613	91,960	49,251	46,203	42,709	92,703									
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16									
Target	75,600																
Result	42,801																

##### Indicator 1.4 - Number and percentage of religious community action plans developed

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)													
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8					
Level 0-Process/Activity Indicator	0	2008	N	N													
Target	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 1,250 D: 2,500 P: 50%	N: 1,250 D: 2,500 P: 50%	N: 2,250 D: 4,500 P: 50%	N: 3,150 D: 6,300 P: 50%	N: 3,150 D: 6,300 P: 50%	N: 0 D: 0 P: %	N: 2,962 D: 4,500 P: 66%	N: 2,962 D: 4,500 P: 66%	N: 2,818 D: 6,300 P: 45%	N: 2,818 D: 6,300 P: 45%	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %
Result	0	N: 0 D: 0 P: %	N: 2,500 D: 2,944 P: 85%	N: 0 D: 0 P: %	N: 2,962 D: 4,500 P: 66%	N: 2,962 D: 4,500 P: 66%	N: 2,818 D: 6,300 P: 45%	N: 2,818 D: 6,300 P: 45%	N: 2,962 D: 4,500 P: 66%	N: 2,962 D: 4,500 P: 66%	N: 2,818 D: 6,300 P: 45%	N: 2,818 D: 6,300 P: 45%	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16									
Target	N: 1,575 D: 3,150 P: 50%	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %
Result	N: 1,993 D: 3,150 P: 63%	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %	N: 0 D: 0 P: %



Indicator 1.5 - Number of Community Conversation sessions conducted

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 2-Service Points supported	28247	2009	N	N										
Target									54,000	108,000	54,000	151,200		
Result									49,251	46,203	42,709	92,703		
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target	75,600													
Result	42,801													

Indicator 1.6 - Number and percentage of religious community action plans developed

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 0-Process/Activity Indicator	0	2008	N	N										
Target	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target	N: 1,575 D: 3,150 P: 50%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: 1,993 D: 3,150 P: 63%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %

Indicator 1.7 - Number of branch offices visited/supervised

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level	103	2012	Top 10 Equ.	N										
Target														
Result														
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target			52	103	52	103								
Result			34	80	Pending result									

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Indicator 1.8 - Number of OVC Trust Fund Established

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
No Level	0	0	Top 10 Equ.	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target								
Result								
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target				51		103		
Result				55				

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### Objective 2 - Increase holistic support for OVC

#### Care and Support: Support for orphans and vulnerable children

##### Indicator 2.1 - Number of OVC receiving subsidies (food, shelter, clothing, health services)

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	452	2007	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	12,460	12,460	12,850	12,850	14,838	14,838	13,000	13,000
Result	12,033	12,332	12,383	12,864	14,154	14,194	12,801	12,970

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	10,000	10,000	10,000					
Result	10,551	9,827	Pending result					

##### Indicator 2.2 - Number of OVC receiving free direct educational support

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	84028	2006	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	31,150	31,150	32,124	32,124	37,094	37,094	37,997	37,997
Result	29,147	30,614	31,007	31,688	34,746	35,770	36,068	37,861

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	20,000	20,000	24,000					
Result	24,019	20,934	24,000					

##### Indicator 2.5 - Number of older OVC and guardians supported by the program who still run their business one year after the initiation

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached			N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: 7,200 D: 9,000 P: 80%	N: D: P: %	N: 8,000 D: 10,000 P: 80%	N: 8,800 D: 11,000 P: 80%
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: 4,583 D: 9,000 P: 51%	N: D: P: %	N: 6,567 D: 10,000 P: 66%	N: 8,103 D: 11,000 P: 74%

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	N: 9,600 D: 12,000 P: 80%	N: 10,400 D: 13,000 P: 80%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: 8,489 D: 9,600 P: 88%	N: 8,987 D: 10,400 P: 86%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %

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*Last Updated on: 13 August 2015*

**Indicator 2.6 - Number of regional branches that received a supervision visit from a sub-recipient during the reporting period**

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
Level 2-Service Points supported			N	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target	N: 52 D: 104 P: 50%	N: 77 D: 103 P: 75%	N: 103 D: 103 P: 100%	N: 103 D: 103 P: 100%	N: 52 D: 104 P: 50%	N: 103 D: 103 P: 100%	N: 52 D: 104 P: 50%	N: 103 D: 103 P: 100%				
Result	N: 64 D: 104 P: 62%	N: 89 D: 103 P: 86%	Pending result	N: 103 D: 103 P: 100%	N: 57 D: 104 P: 55%	N: 119 D: 103 P: 116%	N: 54 D: 104 P: 52%	N: 144 D: 103 P: 140%				
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	N: 52 D: 104 P: 50%	N: 77 D: 103 P: 75%	N: 103 D: 103 P: 100%	N: 103 D: 103 P: 100%	N: 52 D: 104 P: 50%	N: 103 D: 103 P: 100%	N: 52 D: 104 P: 50%	N: 103 D: 103 P: 100%				
Result	N: 64 D: 104 P: 62%	N: 89 D: 103 P: 86%	Pending result	N: 103 D: 103 P: 100%	N: 57 D: 104 P: 55%	N: 119 D: 103 P: 116%	N: 54 D: 104 P: 52%	N: 144 D: 103 P: 140%				

**Indicator 2.7 - Number of older OVC caring for siblings and guardians receiving IGA support**

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
Level 3-People reached	1047	2009	Y	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target					9,000		10,000					
Result					8,023	8,913	9,325	10,916				
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target	12,000	13,000										
Result	11,828	12,931										

**Indicator 2.8 - Number of community volunteers engaged and functional**

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)								
	Value	Year										
No Level	1000	2012	N	N								
	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8				
Target												
Result												
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16				
Target			1,000	1,000	1,000	1,000						
Result			925	986	Pending result							

Indicator 2.9 - Number of OVC provided with educational material support

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level	37977	2012	N	N										
Target														
Result														
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target			24,000	24,000	17,423	17,423								
Result			21,970	22,402	Pending result									

Indicator 2.10 - Number of OVC guardians provided with startup capital

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
No Level	2000	2012	N	N										
Target														
Result														
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16						
Target				3,000		3,000								
Result				2,969										

2.2.3. Cumulative Progress To Date

Latest reporting due period : 13 (01.Jan.15 - 30.Jun.15)

**Objective 1** Enhance community ownership and response to HIV/AIDS

**SDA** Social Mobilization

**Indicator 1.3 - Number of Community Conversation sessions conducted**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	4	120,000	4	91,960					77%	

**Indicator 1.4 - Number and percentage of religious community action plans developed**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 0-Process/Activity Indicator	4	N: 1,250 D: 2,500 P: 50 %	4	N: 0 D: P:					Cannot Calculate	

**Indicator 1.5 - Number of Community Conversation sessions conducted**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	9	75,600	9	42,801					57%	

**Indicator 1.6 - Number and percentage of religious community action plans developed**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 0-Process/Activity Indicator	9	N: 1,575 D: 3,150 P: 50 %	9	N: 1,993 D: 3,150 P: 63.3 %					120%	

**Indicator 1.7 - Number of branch offices visited/supervised**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	13	52	12	80					120%	


**Indicator 1.8 - Number of OVC Trust Fund Established**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	12	51	12	55					108%	


**Objective 2** Increase holistic support for OVC

**SDA** Care and Support: Support for orphans and vulnerable children


**Indicator 2.1 - Number of OVC receiving subsidies (food, shelter, clothing, health services)**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	10	10,000	10	9,827					98%	


**Indicator 2.2 - Number of OVC receiving free direct educational support**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	10	20,000	10	20,934					105%	

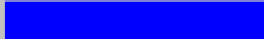
**Indicator 2.5 - Number of older OVC and guardians supported by the program who still run their business one year after the initiation**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	10	N: 10,400 D: 13,000 P: 80 %	10	N: 8,987 D: 10,400 P: 86.4 %					108%	


**Indicator 2.6 - Number of regional branches that received a supervision visit from a sub-recipient during the reporting period**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 2-Service Points supported	10	N: 77 D: 103 P: 74.8 %	10	N: 89 D: 103 P: 86.4 %					116%	


**Indicator 2.7 - Number of older OVC caring for siblings and guardians receiving IGA support**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
Level 3-People reached	10	13,000	10	12,931					99%	


**Indicator 2.8 - Number of community volunteers engaged and functional**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	13	1,000	12	986					99%	

**Indicator 2.9 - Number of OVC provided with educational material support**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	13	17,423	12	22,402					120%	

**Indicator 2.10 - Number of OVC guardians provided with startup capital**

	Target		Result		0%	30%	60%	90%	100%	
	Period	Value	Period	Value						
No Level	12	3,000	12	2,969					99%	

**2.3. Financial Performance****2.3.1. Grant Financial Key Performance Indicators (KPIs)**

<b>Grant Duration (months)</b>	84 months	<b>Grant Amount</b>	33,882,355 \$
<b>% Time Elapsed (as of end date of the latest PU)</b>	86%	<b>% disbursed by TGF (to date)</b>	100%
<b>Time Remaining (as of end date of the latest PU)</b>	12 months	<b>Disbursed by TGF (to date)</b>	33,882,355 \$
<b>Expenditures Rate (as of end date of the latest PU)</b>	96%	<b>Funds Remaining (to date)</b>	

**2.3.2. Program Budget**

	Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	Budget Period 6	Budget Period 7	Budget Period 8
Period Covered From:	01.Jan.09	01.Apr.09	01.Jul.09	01.Oct.09	01.Jan.10	01.Apr.10	01.Jul.10	01.Oct.10
Period Covered To:	31.Mar.09	30.Jun.09	30.Sep.09	31.Dec.09	31.Mar.10	30.Jun.10	30.Sep.10	31.Dec.10
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	1,286,282	3,235,411	5,236,147	6,799,017	7,998,508	10,056,664	12,150,177	13,802,195
Summary Period Budget:	1,286,282	1,949,129	2,000,736	1,562,870	1,199,491	2,058,156	2,093,513	1,652,018

**Expenditure Categories****Program Activities****Implementing Entities**

	Budget Period 9	Budget Period 10	Budget Period 11	Budget Period 12	Budget Period 13	Budget Period 14	Budget Period 15	Budget Period 16
Period Covered From:	01.Jan.11	01.Apr.11	01.Jul.11	01.Oct.11	01.Jan.12	01.Apr.12	01.Jul.12	01.Oct.12
Period Covered To:	31.Mar.11	30.Jun.11	30.Sep.11	31.Dec.11	31.Mar.12	30.Jun.12	30.Sep.12	31.Dec.12
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	14,835,146	17,157,140	18,399,945	19,730,273	20,984,512	22,642,928	24,263,657	25,521,063
Summary Period Budget:	1,422,003	2,321,994	1,242,805	1,330,328	1,254,240	1,658,416	1,620,730	1,257,406

**Expenditure Categories****Program Activities****Implementing Entities**

	Budget Period 17	Budget Period 18	Budget Period 19	Budget Period 20	Budget Period 21	Budget Period 22	Budget Period 23	Budget Period 24
Period Covered From:	01.Jan.13	01.Apr.13	01.Jul.13	01.Oct.13	01.Jan.14	01.Apr.14	01.Jul.14	01.Oct.14
Period Covered To:	31.Mar.13	30.Jun.13	30.Sep.13	31.Dec.13	31.Mar.14	30.Jun.14	30.Sep.14	31.Dec.14
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	26,411,714	27,582,633	28,756,051	29,788,801	30,268,027	31,170,608	32,485,063	33,400,644
Summary Period Budget:	890,651	1,170,919	1,173,419	646,297	479,226	902,581	1,314,455	915,581

**Expenditure Categories****Program Activities****Implementing Entities**



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	Budget Period 25	Budget Period 26	Budget Period 27	Budget Period 28	Budget Period 29	Budget Period 30	Budget Period 31	Budget Period 32
Period Covered From:	01.Jan.15	01.Apr.15	01.Jul.15	01.Oct.15	01.Jan.16	01.Apr.16	01.Jul.16	01.Oct.16
Period Covered To:	31.Mar.15	30.Jun.15	30.Sep.15	31.Dec.15	31.Mar.16	30.Jun.16	30.Sep.16	31.Dec.16
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	33,731,580	34,515,951	35,832,387	36,080,236	36,080,236	36,080,236	36,080,236	36,080,236
Summary Period Budget:	330,936	784,371	1,316,436	822,472				

### Expenditure Categories

### Program Activities

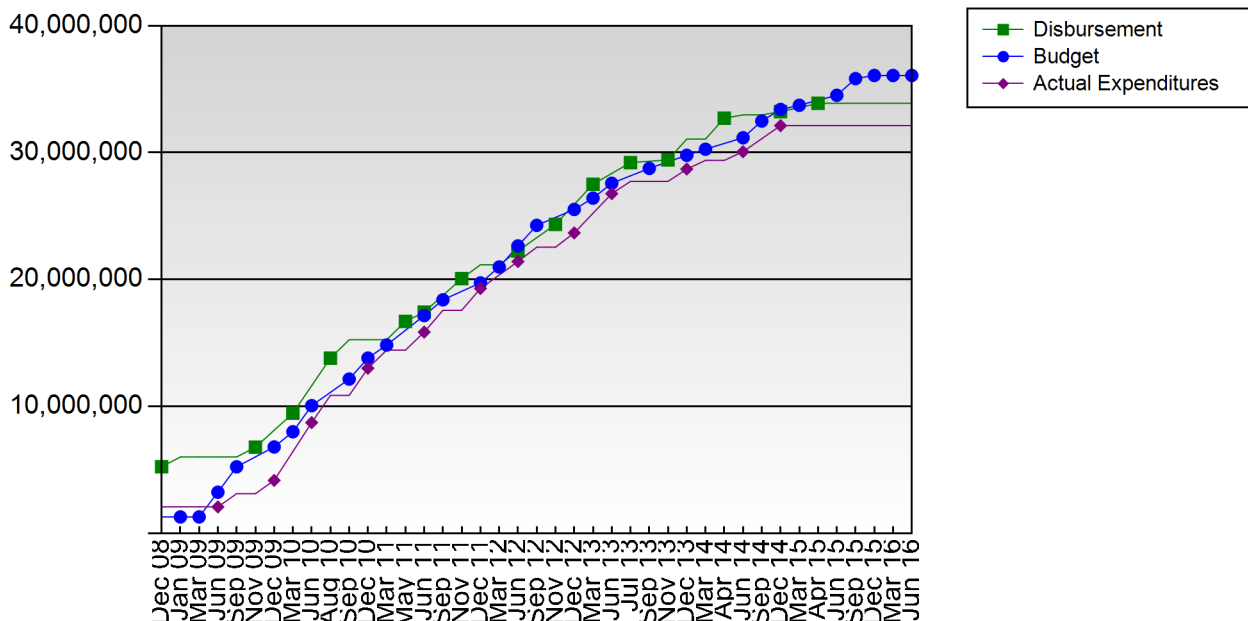
### Implementing Entities

### - Comments and additional information

### 2.3.3. Program Expenditures

Period PU12: 01.Jul.14 - 31.Dec.14	Actual Cash Outflow	Cumulative Budget	Cumulative Cash Outflow	Variance	Reason for variance
<b>1. Total cash outflow vs. budget</b>	\$ 2,054,536	\$ 33,400,644	\$ 32,126,056	\$ 1,274,588	
1a. PR's Total expenditure	\$ 149,802		\$ 1,701,059		
1b. Disbursements to sub-recipients	\$ 1,904,734		\$ 30,424,996		
1c. Expenditure Adjustments					Reason for adjustments
<b>2. Pharmaceuticals &amp; Health Product expenditures vs budget</b>					
2a. Medicines & pharmaceutical products					
2b. Health products and health equipment					

### 2.3.4. Cumulative Program Budget, Expenditures and Disbursement to Date



#### 2.4. Progress Update and Disbursement Information

Rating	Description
A1	Exceeding expectations
A2	Meeting expectations
B1	Adequate
B2	Inadequate but potential demonstrated
C	Unacceptable

Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
0	01.Jan.09 -		N/A	1	01.Jan.09 - 30.Jun.09	5,236,147	\$ 5,236,147	10 Dec 2008	
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
This is the first disbursement for Round 7 EIFDDA.					100% Disbursed. The disbursement will cover activivites for the 1st and 2nd quarters of 2009, including payment for strengthening of health systems.				
Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
1	01.Jan.09 - 30.Jun.09		A2	2	01.Jul.09 - 31.Mar.10	1,543,881	\$ 1,543,880	25 Nov 2009	
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
The PR reached the majority of their targets. For example, the Program trained 499 heads of faith-based organizations and religious leaders in HIV/AIDS awareness and social mobilization (over 120% of the target), and 2,167 facilitators for future planned community conversations or social mobilization sessions (more than 120% of the target). During its first six months, the program also provided 12,033 OVCs with food, clothing and health support (97% of target). The only activity for which the PR did not achieve its target for the period was the mass media campaigns to promote the rights of PLWHA. While the segments have been produced, negotiations with the media companies have delayed their broadcasting.					The amount requested is based on the approved budget for the program activities and the cash available in on the hands of the PR.  The PR strengthened its management capacity by recruiting additional staff, and all vacant positions should be filled shortly.				

Progress Updates				Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
2	01.Jul.09 - 31.Dec.09		B1	3	01.Jan.10 - 30.Sep.10	2,676,714	\$ 2,676,714	16 Mar 2010	
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
<p>The PR maintained its performance at B1 level. Within this overall trend there was a mixed dynamic of indicators: the PR registered a slight improvement on the indicator related to number of OVC receiving free educational support, maintained the performance on number of leaders sensitised in social mobilisation and noted a moderate reduction in level of achievement against the targets on Number of facilitators trained in community conversation and number of OVC receiving subsidies. For two new indicators – Number of Community Conversation sessions and Number of older OVC caring for siblings and guardians receiving IGA support – the level of achievement is un-satisfactory – at 47% and 35% respectively. In the first case, the reason for under-achievement is the delay in disbursements from GF, and thus performance will improve as soon as the PR starts expending funds on this activity. In the second case the underlying problem is deeper, and is related to the insufficient capacity of three SRs: Ethiopian Orthodox Church-DICAC; Ethiopian Muslim Development Association and Ethiopian Evangelic Church Mekane Yesus. This finding is in line with the LFA SR assessment and calls for immediate compensatory action by the PR. The Country Team will explore the feasibility of capacity-raising efforts for the non-performing SRs.</p> <p>Since this is a Top 10 indicator, improvements in this activity would greatly benefit the overall rating of the Grant.</p> <p>The LFA does not use the GF rating methodology, and while recognising the overall good programmatic and financial performance - appears to be downgrading based on the relative reduction in the level of achievement compared to the last reporting period. The Country Team recognises that the decrease in performance – mainly caused by continuing lack of progress at the level of three SRs needs to trigger expedient capacity-building efforts, but believes that risk of inadequate performance in the future is not sufficient to justify the downgrading of performance rating from B1 to B2.</p>				<p>The PR is building on the earlier success in attracting sufficient human resources and maintains an adequate level of performance. This disbursement represents the PRs budget for Q5 - Q6 and the buffer for Q7, less the cash balance consisting of the budget for the “buffer” quarter of the previous disbursement period (Q5) and the funds un-expended due to the late arrival of the previous disbursement. The Phase 2 review in July 2010 will largely depend on the outcomes of the current disbursement period, which makes the successful absorption of this disbursement highly important for the Principal Recipient.</p>					

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Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
3	01.Jan.10 - 30.Jun.10			A2	4	01.Jul.10 - 31.Mar.11	6,194,016	\$ 4,345,454	20 Aug 2010
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
<p>The PRs performance is firmly in the A2 rating area. Timely and complete disbursements allowed the Grant to mitigate the dangers of stagnating performance. At the time of the previous update some key activities (such as training and support for IGA and community conversation (CC) sessions) were in a critical state. Although well positioned to reach out to communities three SRs: the Ethiopian Orthodox Church; the Ethiopian Muslim Development Association and the Ethiopian Evangelic Church were underperforming thus dragging the overall rating to B1. Given the progressive increase of targets in the subsequent periods - the lack of performance on these indicators had a real potential of bringing the overall rating to B2 at the end of moth 18, thus affecting the availability of funds at the end of Phase 1 and in Phase 2. The availability of funds resolved the issue of delays in implementation of Community Conversation sessions. The PRs level of achievement went from 81% to 100% for the "Top 10", and from 69% to 102% for "All" indicators.</p>					<p>The request included US\$ 1.85M for the "buffer" quarter (Q9), but since the Phase 2 funding has not yet been approved – the buffer budget is not included in the disbursed amount.</p>				
Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
4	01.Jul.10 - 31.Dec.10			A2	5	01.Jan.11 - 30.Sep.11	4,448,403	\$ 2,893,740	31 May 2011
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
<p>The Grant continues to perform at an A2 level. Compared to the previous period of update, the level of achievement for the Top 10 indicators went from 100% – to 105% and of the four "Top 10" indicators – one is at 99%, two - at 100%, and one – at 120%. The gains in achievement on the highest priority indicators were partially reduced by the error in timing the targets for the number of community action plans developed. Semi-annual target - 60% (1,500/2,500); Semi-annual achievement n.a.</p>					<p>US\$ 1,238,126 was withheld from the disbursement due to delays in SR's liquidating the funds advanced to them in the last reporting period. USD 316,536 was deducted from the disbursement due to an erroneous cash balance reported. The PR reported an opening cash balance of US\$ 475,302.08 as at 1 July 2010 which differs from the closing balance of US\$ 791,837 reported as at 30 June 2010.</p>				
Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
4	01.Jul.10 - 31.Dec.10			A2	5.1	01.Jan.11 - 30.Sep.11	4,448,403	\$ 733,833	28 Jun 2011
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
<p>The Grant continues to perform at an A2 level. Compared to the previous period of update, the level of achievement for the Top 10 indicators went from 100% – to 105% and of the four "Top 10" indicators – one is at 99%, two - at 100%, and one – at 120%. The gains in achievement on the highest priority indicators were partially reduced by the error in timing the targets for the number of community action plans developed. Semi-annual target - 60% (1,500/2,500); Semi-annual achievement n.a.</p>					<p>This is the second tranche of the fifth disbursement. At the time of processing disbursement 5, US\$ 1,238,126 was withheld from the disbursement due to delays in liquidating the funds advanced to one SR. The SR has shown significant improvements in the status of liquidation resulting in the decision to disburse US\$ 733,833.</p>				

Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
5	01.Jan.11 - 30.Jun.11			A2	6	01.Jul.11 - 29.Mar.12	3,641,636	\$ 2,643,134	03 Nov 2011
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
<p>The Grant continues to perform at an A2 level. At the time of Phase 2, a more robust framework has been negotiated by eliminating process indicators and introducing more challenging output indicators. Due to the change in the content of the Performance Framework, the achievements between the previous and the current periods are not directly comparable, however since the same level of achievement is measured against a more rigorous set of indicators – the overall conclusion is that the performance of the grant continues to improve.</p>					<p>The variance between the PR's request and the Secretariat recommendation is due to the deduction of un-liquidated advances at the PR that have been overdue for more than six months.</p>				
Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
6	01.Jul.11 - 31.Dec.11			A2	7	01.Jan.12 - 30.Sep.12	4,533,383	\$ 2,176,294	06 Jun 2012
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
<p>Based on performance indicators, the Grant continues to perform at an A2 level with the cumulative rating of 97%. Key achievements in meeting targets have been OVCs received food, shelter, clothing and health services subsidies at 96% percent of the target, OVCs received education support grants at 96% of the target, and guardians and siblings caring for OVCs received grants to begin income-generating activities at 99% of the target. Although the programmatic performance for the grant was very strong, the overall grant rating was downgraded to a B1 due to the number of identified financial management issues at the PR and SR level. Seven of the nine SRs received qualified audits indicating financial management challenges that should be addressed. The qualified audits were taken into consideration in the disbursement decision and were noted in the management letter to the PR.</p>					<p>The variance between the PR's request of USD 4,533,383 with an additional USD 159,168 to account for indicative cash on hand and the GF disbursement decision of USD 2,176,294 was due to adjustments made based on the SR 2010 audit reports. The shortfalls in funding will be disbursed if and when the relevant SRs address the issues at hand.</p>				
Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
6	01.Jul.11 - 31.Dec.11			A2	7.1	01.Jan.12 - 30.Sep.12	4,533,383	\$ 2,091,514	01 Nov 2012
<b>Summary of Progress</b>					<b>Reasons for variance between PR Request and Actual Disbursement</b>				
<p>Based on performance indicators, the Grant continues to perform at an A2 level with the cumulative rating of 97%. Key achievements in meeting targets have been OVCs received food, shelter, clothing and health services subsidies at 96% percent of the target, OVCs received education support grants at 96% of the target, and guardians and siblings caring for OVCs received grants to begin income-generating activities at 99% of the target. Although the programmatic performance for the grant was very strong, the overall grant rating was downgraded to a B1 due to the number of identified financial management issues at the PR and SR level. Seven of the nine SRs received qualified audits indicating financial management challenges that should be addressed. The qualified audits were taken into consideration in the disbursement decision and were noted in the management letter to the PR.</p>					<p>This disbursement is directly related to the previous disbursement whereby USD 2,357,091.97 was withheld due to 2010 Audit Report findings. We have noted that six of the nine SR audit reports for the year ending 31 December 2011 were submitted timely and that all six SRs and the PR have received unqualified audit opinions for grade A or B audit firms. Furthermore, the issues raised in the Audit Report of the year ending 31 December 2010 were resolved. This progress has preempted the release of USD 2,091,514.14 previously withheld for the disbursement period and buffer period between 1 January 2012 and 31 September 2012.</p>				

Progress Updates				Disbursement Information					
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
7	01.Jan.12 - 30.Jun.12		A2	10	01.Jul.12 - 29.Mar.13	3,774,588	\$ 3,163,680	01 Mar 2013	
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement					
<p>There were seven indicators reporting during the period from 1 January 2012 to 30 June 2012. The average performance of all indicators was A2 with 91% achievement of targets. We commend the PR in particular for the following achievements:</p> <ul style="list-style-type: none"> <li>The indicator 'the number of regional branches that received a supervision visit from a sub-recipient during the reporting period' achieved 104% of its targets. The reported targets for this PUDR period was 52, which is 50% of the total 103 regional branches. The PR reported an achievement of 54 (104%) and this is attributed to more frequent visits by the PR and SRs during the reporting period.</li> <li>The indicator 'the number of OVC receiving subsidies (food, shelter, clothing, health services)' achieved 98% of its targets with 12,801 OVCs having received subsidies despite the PR having faced intermittent disbursements for the period of January between June 2012.</li> </ul> <p>Furthermore, we commend the PR for the average performance of the top ten indicators achieving 95% of targets (A2).</p>				<p>The disbursement period July to Dec 2012 has a budget forecast as follows: budget for Q-15 - USD 1,620,729, Q-16 budget - USD 1,257,406 and buffer budget for Q-17 - USD 896,453. The total forecasted budget for the period is USD 3,774,588.</p> <p>We note that the PR requested for an additional amount of USD 231,191 for the procurement of a vehicle and conducting additional 1000 trainings for community conversation facilitators, coming to a total PR request of USD 4,005,779. As the PR requested amount for the procurement of a vehicle was not budgeted, the CT requests that the PR get CCM approval for the reprogramming.</p> <p>The disbursement amount of USD 3,163,680.48 is computed as follows:</p> <p>Quarter 15 and 16 budget: July to December 2012 2,878,135</p> <p>Buffer Quarter: Q17: Jan to March 2013 896,453</p> <p>Less: Un-liquidated advances older than six months held by SRs (503,528) Please see Annex 2 for recommended deductions per SR</p> <p>SubTotal 3,271,060</p> <p>Less: Cash balance as of 30 November 2012 USD 107,379.52</p> <p>Amount approved for disbursement by the Global Fund 3,163,680.48</p> <p style="text-align: right;">USD</p>					

ETH-708-G09-H

Last Updated on: 13 August 2015

Progress Updates				Disbursement Information																									
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date																					
8	01.Jul.12 - 31.Dec.12		B1	9	01.Jan.13 - 31.Dec.13	2,284,410	\$ 1,709,787	24 Jul 2013																					
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement																									
<p>The average performance of the grant of all indicators was A2 (94% achievement against targets) for the reporting period July - Dec 2012. However, due to identified data quality issues, and sub-optimal progress on management issues, the grant has been downgraded to B1. Following the current disbursement recommendation, the cumulative disbursement decision amount under the Round 7 HIV grant stands at USD 29.78 million, which is approximately 99% of the cumulatively budget through the end of December 2013.</p>				<p>The CT annual disbursement commitment is USD 1,917,024.65 and is computed as follows:</p> <table> <tr> <td>Year 4 Q3-Q4 activities - carried forward activities</td> <td>US\$ 1,208,466</td> </tr> <tr> <td>Planned activities for Year 5 (1 Jan to 31 Dec 2012)</td> <td>US\$ 3,878,524</td> </tr> <tr> <td>Sub Total</td> <td>US\$ 5,086,990</td> </tr> </table> <p>Activities Advanced from 2014 (pre-conditions from TFM)</p> <table> <tr> <td>Add: External Evaluation of IGA</td> <td>US\$ 27,129</td> </tr> <tr> <td>Add: Development of Guidelines for OVC Trust Fund</td> <td>US\$ 6,969</td> </tr> <tr> <td>Sub Total</td> <td>US\$ 34,098</td> </tr> </table> <p>Deductions:</p> <table> <tr> <td>Less: Cash balance at end of Dec 2012</td> <td>(US\$ 34,983.87)</td> </tr> <tr> <td>Less: Disbursement from GF in March 2013</td> <td>(US\$ 3,163,680.48)</td> </tr> <tr> <td>Less: Ineligible expenditure (Sponsorship fee and per diem)</td> <td>(US\$ 5,399)</td> </tr> <tr> <td>Sub-Total</td> <td>(US\$ 3,592,953.95)</td> </tr> </table> <p>CT Recommended 2013 Commitment Amount US\$ 1,917,024.65</p> <p>The approved amount is 99% of the cumulative disbursement-budget ratio.</p> <p>The Country team shall transfer the recommended disbursement amount in 2 tranches:</p> <ul style="list-style-type: none"> <li>• First Cash Transfer for US\$ 1,709,787.46 for implementation of grant activities</li> <li>• Second cash transfer for US\$ 207,237.19 by 30 Sept 2013 - on liquidation of advances and finalization of approach for undertaking the independent evaluation of IGA activities.</li> </ul>						Year 4 Q3-Q4 activities - carried forward activities	US\$ 1,208,466	Planned activities for Year 5 (1 Jan to 31 Dec 2012)	US\$ 3,878,524	Sub Total	US\$ 5,086,990	Add: External Evaluation of IGA	US\$ 27,129	Add: Development of Guidelines for OVC Trust Fund	US\$ 6,969	Sub Total	US\$ 34,098	Less: Cash balance at end of Dec 2012	(US\$ 34,983.87)	Less: Disbursement from GF in March 2013	(US\$ 3,163,680.48)	Less: Ineligible expenditure (Sponsorship fee and per diem)	(US\$ 5,399)	Sub-Total	(US\$ 3,592,953.95)
Year 4 Q3-Q4 activities - carried forward activities	US\$ 1,208,466																												
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Progress Updates				Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
9	01.Jan.13 - 30.Jun.13		A1	9.2	01.Jul.13 - 29.Mar.14	2,284,410	\$ 207,237	04 Nov 2013
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement				
<p>We commend the PR's progress on indicator performances for the period of 1 January 2013 to 30 June 2013. Should there not be data quality issues, the following achievements were particularly creditable:</p> <ul style="list-style-type: none"> <li>• 10,551 Orphan and Vulnerable children received subsidies (for food/shelter/clothing/health services);</li> <li>• 24,019 OVCs received educational support;</li> <li>• 11,828 older OVCs and guardians received support for income-generating activities (IGA);</li> <li>• 8,489 of the older OVCs and guardians who received IGA support, continued to run their business one year after initiation.</li> </ul> <p>Although the Country Team commends the PR for the particular results and achievement during the reporting period, certain achievements led to continued data quality concerns.</p> <p>During the reporting period of 1 January 2013 to 30 June 2013 USD 3,092,753 was expended. The PR expended USD 91,124 out of a budget of USD 122,442, 74.4% of the budget for the period under review. The SRs expended USD 3,001,629 out of a budget of USD 1,936,366 – 55% higher than the budget for the period. The overall financial achievement is commendable.</p> <p>Specific areas of concern are within the financial reporting for two Service Delivery Areas at the PR level that had a variance of higher than 15% between the budget vs. expenditure. The two areas were a.) Enhance Capabilities of front liners and b.) Provision of community support to OVC. The Country Team looks forward to a written response depicting this variance before 31st December 2013. This concern of variances between expenditure and budget over 15% was mentioned also in the management letter for disbursement 9.1 issued on 22 July 2013 in which the PR was requested to provide detailed explanations for registered variances related to the Enhanced Financial Reporting.</p> <p>Furthermore, several financial systems management actions from the previous management letter remain unmet. These include the following:</p> <ul style="list-style-type: none"> <li>• The GF recommends that the PR should carry out detailed verifications of supporting documentation related to expenditure reports submitted by the SRs before accepting expenditure statements.</li> <li>• Sub-optimal budget monitoring.</li> <li>• The use of two USD bank accounts for the PRs management of GF grant as well as other donor transactions, with out separate cash ledgers to show GF grant funds separating.</li> </ul> <p>The Country Team acknowledges the new use of accounting software (QuickBooks) in order to improve financial recording and management. However, in order to quicken the PR's adequate use of the software, it is strongly recommended that the PR administers training on the software to finance staff.</p>				<p>The annual commitment amount was USD 1,917,024, of which USD 1,709,787 has been transferred on 22 July 2013. This letter marks the release of the second cash transfer of USD 207,237 tied to the liquidation of advances older than 6 months and the IGA evaluation.</p> <p>Un-Liquidated Advances older than 6 months According to the ageing analysis of un-liquidated advances prepared by the PR, it was noted that the age of all the un-liquidated advances were less than six months old for the nine SRs and 1 PR. Therefore, the cash related to the un-liquidated advances of the second tranche (USD 180,108) was released.</p> <p>It was also noted in the review; however, that the aging analysis was prepared in First-in-First-out method, which does not fully capture the status of implementation of planned activities. Management Actions in the previous management letters have specified that the PR has had deficiencies in budget monitoring and PR should be able to provide details of fund disbursement to SRs at detail activity level as well as statement of sources and uses for ageing analysis reports. Further to the mission of the week of November 4, 2013, the Country Team expects an update on financial management training conducted on the new accounting software - Quickbooks.</p> <p>Income Generating Activities Evaluation The Country Team reviewed the independent evaluation of the IGA activities undertaken in Phase 2, with separate inputs from two Evaluation Officers in the IRE department to have an objective opinion. The evaluation has identified high retention rates of 88.7% for the last one year (meeting proposed threshold of 60-65% by the TRP), and the TRP condition is considered as met.</p> <p>However, it is acknowledged that there is a potential selection bias of study subjects, and confounding factors in the analysis of determinants of success. There was also a consensus that a retrospective analysis on retention rates would not be of greater value – as it is also likely to be limited by bias and confounding. There was an agreement to undertake a prospective 2 year study on IGA to look beyond retention rates, and measure the socio-economic benefits, impact on retention and impact on transmission. Taking this into account the Country Team released the disbursement of funds for IGA to support a prospective evaluation of IGA activities supported by EIFDDA with a baseline, mid-term and end line assessment. The amount released at this cash transfer is USD 27,128 out of a total of USD 65,230 dedicated to program evaluation and the documentation of best practices.</p>				



Progress Updates				Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
10	01.Jul.13 - 31.Dec.13		A1	10	01.Jan.14 - 31.Mar.15	3,805,197	\$ 3,293,019	25 Apr 2014
Summary of Progress				Reasons for variance between PR Request and Actual Disbursement				
<p><b>Programmatic Performance</b> We commend the PR's progress on indicator performances for the period of 1 July 2013 through 31 December 2013. There were five indicators reporting during the period of 1 July 2013 until 31 December 2013. The key achievements and outputs of the PR during the period under review were the following –</p> <ul style="list-style-type: none"> <li>□ 20,934 OVC were supported with free direct educational support</li> <li>□ 9,827 OVC received subsidies including food, shelter, clothing and health services</li> <li>□ 8,987 out of a cohort of 10,400 older OVC and guardians who were supported by the program were still running their business one year after initiation</li> <li>□ 12,931 older OVC caring for siblings and guardians received IGA support</li> </ul> <p>The achievement of 8,987 OVC supported by the program who were still running the program 12 months after initiation is consistent with the average of 87% during the program term and as defined during the TFM negotiation. The PR, is required as part of the TFM grant signing to recruit an independent research entity to conduct an evaluation of the IGA program to validate the findings of an initial IGA evaluation, both in term of retention rates, as well as the impact of IGA programs on beneficiaries and families. Although this was agreed upon as part of the TFM grant negotiation and signing in October 2013, there have been delays in the recruitment of the research entity. It is therefore, important for the PR to recruit an independent research entity to conduct an evaluation and validate the research. Timely recruitment of the organization is critical to ensure baseline data is collected before start of implementation of TFM activities.</p> <p><b>Financial Performance and Review of Expenditure</b> As per the EFR, the cumulative variance is USD 622,357 (with cumulative budget of USD 29,788,801 and cumulative expenditure to date being USD 29,166,443) over the grant period. This budget utilization of 99% is fully tied to performance rating and disbursement decision, with minor variance being due to operational factors.</p> <p>As of 31st December 2013, the SRs are holding USD 203,480 in unliquidated advances. The identified advances more than six month old were from ECS, EKHC and MKCRDA's SR ledger maintained by the PR. The Country Team has accounted for this by withholding the disbursement of USD 203,480 from the first cash transfer.</p> <p>The PR's performance rating, A2, allows it is up to 100% of its total budget of the period. The Country Team is recommending 117% of the budget, which is mainly for the additional disbursement for the period April-June 2014 to ensure that the PR has sufficient funding through to the expected new funding model program start date of 1 July 2015. This would also facilitate alignment of all HIV grant PRs with the national fiscal cycle, and the NFM start date.</p>				<p><b>Overall Annual Commitment</b> This disbursement would be first in the TFM period, in which an incremental amount of USD 6,686,059 was signed on 25 October 2013 to extend the Round 7 EIFDDA grant through 31st December 2015. The aim of the TFM is to address the high burden of OVC in Ethiopia through meeting the following objectives –</p> <ul style="list-style-type: none"> <li>□ Enhance community ownership and response to HIV/AIDS through enabling religious leaders (RL) to mobilize and encourage the community towards supporting orphans and vulnerable children through local resources.</li> <li>□ Increasing holistic support for OVC through the provision of direct external support in shelter, education materials, clothes, income generating activities business training and start-up capital as well as food through the Round 7 grant.</li> <li>□ Strengthening the implementation capacity of the faith-based organization EIFDDA and its members through developing M&amp;E systems and covering running costs of the operation.</li> </ul> <p>The full budget of the disbursement period of 1 January 2014 through 31st March 2015 is USD 3,942,779. The budget for the disbursement period includes the following service delivery areas tying into the main objectives –</p> <ol style="list-style-type: none"> <li>1. Advocacy, Communication and Social Mobilization - USD 6,741;</li> <li>2. Enhance capacities of front-liners (RL and volunteers) - USD 330,000 to facilitate linkages;</li> <li>3. Support for orphans and vulnerable children - USD 1,104,000;</li> <li>4. IGA for strengthening the capacity of families and - USD 205,500 community groups to support OVCs;</li> <li>5. IGA to strengthen the economic capacity of OVC - USD 780,000 and their households;</li> <li>3</li> <li>6. Building capacity of FBOs in implementing social - USD 1,398,086 Mobilization and support for OVC programs;</li> <li>7. Monitoring and Evaluation, evidence building - USD 118,452</li> </ol> <p>Total Year 1 &amp; Buffer TFM USD 3,942,779 Add: Additional Buffer of Q2 of Year 2 TFM USD 748,371 Total Forecast USD 4,727,150</p> <p>The additional Q2 Year 2 budget (USD 748,371) was added to the commitment amount in order to ensure that the PR has funding through the expected NFM program start date of 1 July 2015, and would ensure alignment of all grant recipients under the New Funding Model. This will also facilitate in discussions around pipeline funding and funding available for consideration under NFM joint TB and HIV concept note to be submitted in August 2014 with a likely start date of 1 July 2015.</p> <p>The verified PR cash balance as at 31st December 2013 was USD 115,343.71. Therefore, with the deduction of the cash balance, the total disbursement commitment amounts to USD 4,611,806.29.</p> <p><b>Disbursement Schedule</b> The disbursement amount for USD 4,611,806.29 would be transferred in two tranches. The first cash transfer for USD 3,293,019.29 would include funds for the period January 2014 - December 2014 and is released with this disbursement notification. The second cash transfer for USD 1,318,787 to be transferred by 15 November 2014 is for the period January 2015 - June 2015, and includes withheld funds for USD 203,480 for unliquidated advances over 6 months. The release of this fund is subject to progress in liquidation of advances of over 6 months.</p>				

Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
11	01.Jan.14 - 30.Jun.14		B1	11	01.Jul.14 - 30.Jun.15	3,805,197	\$ 511,848	19 Dec 2014	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
11	01.Jan.14 - 30.Jun.14		B1	15	01.Jul.14 - 30.Jun.15	3,805,197	\$ 656,072	11 Apr 2015	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
12	01.Jul.14 - 31.Dec.14		A2					N/A	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				

2.5. Contextual Information	
Title	Explanatory Notes

2.6. Phase 2/ Periodic Review Grant Renewal	
Performance Rating	Recommendation Category
Rationale for Phase 2/ Periodic Review Recommendation Category	
Rationale for Phase 2/ Periodic Review Recommendation Amount	

Time-bound Actions	
Issues	Description

